



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Y. Mimatsu et al.

Serial No.: 10/787,112

Filed:

February 27, 2004

For:

METHOD AND APPARATUS FOR SETTING ACCESS

RETRICTION INFORMATION

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97 & 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 October 26, 2005

Sir:

In the matter of the above-identified application, Applicants are submitting herewith the attached form equivalent to Form PTO-1449 for the Examiner's consideration. Copies of the foreign patents and non-patent references are also attached.

This information disclosure statement is being submitted before the mailing date of a first Office Action on the merits.

To the extent the documents listed on the attached form equivalent to Form PTO-1449 are not in the English language, the requirement of 37 CFR  $\S 1.98(a)(3)$  for a concise explanation of the relevance is satisfied by an English language abstract of the reference attached thereto.

It is respectfully requested that this information disclosure statement be considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to the deposit account of Mattingly, Stanger, Malur & Brundidge, Deposit Account No. 50-1417 (referencing attorney docket no. TSM-35) please credit any excess fees to such deposit account.

Respectfully submitted,

MATTINGLY, STANGER, MALUR & BRUNDIDGE, P.C.

Colin D. Barnitz

Registration No. 30,293

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ATTY, DOCKET NO. SERIAL NO. FORM PTO-1449 U.S. Department of Commerce (Rev. 4/92) Patent and Trademark 10/787,112 **TSM-35** Office **APPLICANT** INFORMATION DISCLOSURE Y. MIMATSU et al. STATEMENT BY APPLICANT **GROUP** FILING DATE February 27, 2004 2131 (Use several sheets if necessary) U.S. PATENT DOCUMENTS FILING DATE EXAMINER CLASS SUBCLASS DOCUMENT NUMBER DATE INITIAL BARNER et al. 11-1973 3,771,137 ADNEY et al. 5-1977 4,025,904 GUSELLA et al. 4-1995 5,408,465 LUDLAM et al. 10-1995 5,459,857 CHAI et al. 4-1996 5,504,882 8-1996 LARSON et al. 5,548,712 BEARDSLEY et al. 10-1997 5,680,580 OFEK et al. 10-1997 5,680,640 CHOY et al. 5-1998 5,758,118 DUYANOVICH et al. 11-1998 5.835.954 FOREIGN PATENT DOCUMENTS ABSTRACT CLASS SUBCLASS COUNTRY DOCUMENT NUMBER DATE NO  $\overline{\mathbf{x}}$ JP 9-288547 A 11-1997 Japan  $\overline{\mathbf{x}}$ 10-1998 Japan JP 10-283272 A X 8-2002 Japan JP 2002-230246 A X 5-2002 Japan JP 2002-157091 A OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) White Paper; Network Attached Storage In the Data Protection Environment, Presented by Sony Electronics, February 2002. SCSI-3 Fault Tolerant Controller Configurations Utilizing SCC & New Event Codes, Editor: Steve Sicola, High Availability Study Group, Document No.: X3T10 95-312r3, Rev. 3.0, February 28, 1996. **DATE CONSIDERED EXAMINER** 

EXAMINER: Initial if citation is considered, draw line through citation if not in conformance and not

considered. Include copy of this form with next communication to applicant.

FORM PT	<b>0-1449</b> U.S. Departm	ent of		ATTY. DOCKET NO.	;	SERIAL NO.				
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